Case No.: 56352US002

OIPE 32692 Customer Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

CARPENTER, BARRY S.

Application No.:

09/911950

Group Art Unit:

2874

Filed:

July 24, 2001

Examiner:

Scott A. Knauss

Title:

PACKAGED OPTICAL MICRO-MECHANICAL DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450 on:

<u>L</u>

Signed by: Angie Zontelli

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

Date

Bradford B. Wright, Reg. No.: 34,459

Telephone No.: (651) 736-4172

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitut for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary)

Page 1 of 1

| Application Number | 09/911950 |
|----------------------|---------------------|
| Filing Date | July 24, 2001 |
| First Named Invent r | Carpenter, Barry S. |
| Art Unit | 2874 |
| Examiner Name | Scott A. Knauss |
| Attorney Case Number | 56352US002 |

| U.S. Patent Documents | | | | | | | |
|-----------------------|-------------|----------------------------------|--------------------------------|--------------------------------|---|--|--|
| Exam. Init.* | Cite No. | / Document Number | Publication Date or Issue Date | Name of Patentee | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | | Doc. Number-(Kind Code if Known) | MM-DD-YYYY | or Applicant of Cited Document | | | |
| | A1 | US- 2002/0181838 A1 | 12-05-2002 | Cunningham et al. | | | |
| | A2 | US- 2002/0164113 A1 | 11-07-2002 | Rensing et al. | | | |
| | A3 | US- 2002/0164123 A1 | 11-07-2002 | Hamerly et al. | | | |
| | A4 | US- | | | | | |
| | A5 | US- | | | | | |
| | A6 | US- | | | | | |
| | A7 | US- | | | | | |
| | A8 | US- | | | | | |
| | A9 | US- | | | | | |
| | A10 | US- | | | | | |
| | A11 | US- | | | | | |

| Foreign Patent Documents | | | | | | | | |
|--------------------------|------|-------------------------|----------------------------|------------------|-----------------------------|---|----------------|--|
| Exam. Init.* | Cite | Foreign Patent Document | | Publication Date | Name of Patentee or | Pages, Columns, Lines, Where Relevant Passages | Translation | |
| | No. | Ctry. Code | Number-KindCode (If known) | MM-DD-YYYY | Applicant of Cited Document | or Relevant Figures Appear | (Check if yes) | |
| | B1 | wo | 02/37161 A2 | 05-10-2002 | Weaver et al. | | | |
| | B2 | | | | | | | |
| | В3 | | | | | | | |
| | B4 | | | | | | | |
| | B5 | | | | , | | | |
| | В6 | | | | | | | |
| | B7 | | | | | | | |

| OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | | | | | |
|---|-------------|--|--|--|--|
| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | | |
| | C1 | | | | |
| | C2 | | | | |
| | СЗ | | | | |

| * | E | X | а | n | n | İ | n | e | r | : |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | |

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.